

IS YOUR COMPANY'S RETIREMENT PLAN IN COMPLIANCE WITH ERISA?

- Are you transmitting participant contributions timely?
- Did your company ever “borrow” participant contributions?
- Does your plan have a fidelity bond?
- Does your plan charge interest on participant loans?
- Does your plan engage in transactions with parties-in-interest?
- How many assets are in real estate, cash or personal property?
- Is the company filing its annual reports?
- Who is making investment decisions?



ANDREWS KOEHLER & PASSARELLI, P.C.

Retirement Plan Compliance with ERISA

A Seminar presented by

ANDREWS KOEHLER & PASSARELLI, P.C.

&

The DuPage Association of Women Lawyers

www.akplaw.com

Retirement Plan Compliance with ERISA

Who Should Attend?

Plan Administrators, Plan Fiduciaries, Human Resources Personnel, Managers, Business Owners, Accountants, Lawyers who Advise Business, Payroll Service, Retirement Plan Providers

Cost:

Cost: Complimentary
Date: March 25, 2005
Time: 9:30 a.m. – 12:00 p.m.
Location: Andrews Koehler & Passarelli, P.C.
4343 Commerce Court
Lisle, IL 60532
1st Floor Training Room

Reservation Deadline:
March 21, 2005

Seats fill up quickly for this seminar. Be sure to register early!

Information on Program

AKP is pleased to sponsor a seminar by the United States Department of Labor's Employee Benefits Security Administration on 401k Compliance and the Department's Voluntary Fiduciary Compliance Programs. Steven L. Haughen, Deputy Regional Director of the Chicago Regional Office and Joseph L. Sulkson, Investigator, will provide valuable information on complying with ERISA's reporting requirements, timing of participant contributions and other fiduciary responsibilities. The program will also provide in-depth information on correcting operational errors through the Department's Voluntary Fiduciary Compliance Program.

The Employee Benefits Security Administration is the division of the U.S. Department of Labor that enforces Title I of ERISA (Employee Retirement Income Security Act of 1974). Title I primarily concerns fiduciary obligations to plan participants, therefore plan sponsors, plan administrators, plan trustees, and any other individual who exercises discretion in the administration of the plan is a fiduciary and subject to the fiduciary obligations of ERISA. EBSA Investigators review both pension and welfare plans for compliance with Title I. EBSA's enforcement goal is to ensure ERISA compliance for the sole benefit of plan participants.

This seminar is designed for Human Resource personnel, Payroll personnel, Plan Administrators, Plan Fiduciaries, Accountants, Managers, Business Owners, and Lawyers.

Please fax registration forms and/or mail to:

Andrews Koehler & Passarelli, P.C.
Attn: Erinn Moore
4343 Commerce Court, Suite 615
Lisle, IL 60532
(630) 505-9969 (Fax)

Name: _____

Title: _____

Company: _____

Address: _____

Phone: _____

Fax: _____

Email: _____

Signature: _____

Please complete a separate form for each person attending.

(For convenience attach a business card)

PLEASE RESPOND BY MARCH 21, 2005.

Please contact Erinn Moore at 630-505-9939 or emoore@akplaw.com to reserve your place!